

INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ATTY. DOCKET NO. 2685/5702	SERIAL NO. 09/690,721 New Application
	APPLICANT(S) Gorin et al.	
	FILING DATE October 18, 2000	GROUP Unassigned 2654

U. S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	PATENT DATE	NAME	CLASS/ SUBCLASS	FILING DATE
VRK	5,675,707	October, 1997	Gorin et al.	395/2.66	Sep. 15, 1995
VRK	5,794,193	August, 1998	Gorin	704/250	Sep. 15, 1995
VRK	5,860,063	January, 1999	Gorin et al.	704/257	Jul. 11, 1997
VRK	6,021,384	Feb. 1, 2000	Gorin et al.	704/1	Oct. 29, 1997
VRK	6,044,337	Mar. 28, 2000	Gorin et al.	704/1	Oct. 29, 1997

OTHER DOCUMENTS

EXAMI NER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.

EXAMINER <i>Vijay B. Bhowmik</i>	DATE CONSIDERED 7/13/04
EXAMINER: Initial citation is considered, whether or not citation is in conformance with M.P.E.P. 609; strike out citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



3

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 Of 1

Complete if Known

Application Number 09/690,721

Filing Date October 18, 2000

First Named Inventor Allen L. GORIN et al.

Group Art Unit To Be Determined - 2654

Examiner Name To Be Determined - V. Chauhan

Attorney Docket Number 2685/5702

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
VRC		US-4,777,600	10-11-98	SAITO et al.	
VRC		US- 4,866,778	09-12-89	BAKER	
VRC		US- 4,903,305	02-20-90	GILLICK et al.	
VRC		US- 5,029,214	07-02-91	HOLLANDER	
VRC		US- 5,033,088	07-16-91	SHIPMAN	
VRC		US- 5,099,425	03-24-92	KANNO: YUJI et al.	
VRC		US- 5,384,892	06-24-95	STRONG	
VRC		US- 5,390,272	02-14-95	REPTA, et al.	
VRC		US- 5,434,906	07-18-95	ROBINSON et al.	
VRC		US- 5,457,768	10-10-95	TSUBOI et al.	
VRC		US- 5,666,400	09-09-97	McALLISTER et al.	
VRC		US- 5,719,921	02-17-98	VYSOTSKY et al.	
VRC		US- 5,839,106	11-17-98	BELLEGRADA	
VRC		US- 5,905,774	05-18-99	TATCHELL et al.	
VRC		US- 6,023,673	02-08-00	BAKIS, et al.	
VRC		US 6,173,261 B1	01-09-01	ARAI, et al.	
VRC		US- 6,192,110 B1	02-20-01	ABELLA et al.	

RECEIVED

APR 01 2003

Technology Center 2600

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
VRC		A.L. GORIN et al. "HOW MAY I HELP YOU?", AT&T Labs Research, pg. 1-2 and 4, as it was to appear in the Proc. Of IVITA, Philadelphia, October 1996	
VRC		Patent Application for 08/943,944, "METHOD AND APPARATUS FOR GENERATING SEMANTICALLY CONSISTENT INPUTS TO A DIALOG MANAGER, filed October 3, 1997, by Alicia ABELLA, et al.	

Examiner
Signature

Vijay Chauhan

Date
Considered

7/13/04

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. DCO 448658 v1

Substitute for form 1449A/PTO

Complete if Known

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Application Number	09/690,721
Filing Date	October 18, 2000
First Named Inventor	Allen L. Gorin, et al.
Group Art Unit	2641-2654
Examiner Name	Not Assigned V. Chaur
Attorney Docket Number	02685/5702

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
VLC		US- 5,832,480	Nov 3, 1998	Roy BYRD, et al	
VLC		US- 5,212,730	May 18, 1993	Barbara WHEATLEY, et al.	
VLC		US- 6,311,152 B1	Oct 30, 2001	Shuanhu BAI, et al.	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

RECEIVED

OCT 27 2003

Technology Center 2600

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶

Examiner
Signature

Vijay Chaur

Date
Considered

7/13/04

¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

² Applicant's unique citation designation number (optional). ³ See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁷ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.